

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re application of:

STEPHEN HERMAN, et al.

NOV 0 2 2004

Serial No.:

09/819,360

Technology Center 2600

Filed:

March 28, 2001

For:

SYSTEM AND METHOD FOR PERFORMING SEGMENTAITON-

BASED ENHANCEMENTS OF A VIDEO IMAGE

Group No.:

2614

Examiner:

B.P. Yenke

MAIL STOP APPEAL BRIEF - PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

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- Check in the amount of \$340.00 for the Appeal Brief Filing Fee; 2.
- 3. Fee Transmittal for FY 2005 (in duplicate); and
- 4. Two (2) Postcard receipts;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 20, 2004.

Oct 20,2004 Oct. 20,2004

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

___ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 340.00

Co	mplete if Kno	wn
Application Number	09/819,360	
Filing Date	March 28, 20	001 RECEIVE
First Named Inventor	Stephen Her	man
Examiner Name	B.P. Yenke	NUV 0 2 2004
Art Unit	2614	Technology Center 3
Attorney Docket No.	US010123	Toombody Ochler 40

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit card Money Other None			3. ADDITIONAL FEES						
Deposit Account:		1		Small		,			
Deposit Account		50-0208		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number				1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	D	avis Munck, P.C.		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)			1053	130	1053	130	Non-English specification	├	
Charge fee(s) indicated below Credit any overpayments			1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-identified deposit account.			1251	110	2251	55	Extension for reply within first month		
FEE CALCULATION 1. BASIC FILING FEE			1252	430	2252	215	Extension for reply within second month		
Large Entity S				1253	980	2253	490	Extension for reply within third month	
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
1001 790	2001 395	Utility filing fee		1255	2,080	2255	1,040	Extension for reply within fifth month	
1002 350	2002 175	Design filing fee		1401	340	2401	170	Notice of Appeal	
1003 550	2003 275	Plant filing fee		1402	340	2402	170	Filing a brief in support of an appeal	\$340.00
1004 790	2004 395	Reissue filing fee		1403	300	2403	150	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) -0-			1452	110	2452	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1453		2453	685	Petition to revive - unintentional		
Total Claims		1501		2501		Utility issue fee (or reissue)			
		1502	490	2502		Design issue fee			
		1503	660	2503		Plant issue fee			
		1460	130	1460		Petitions to the Commissioner			
Large Entity Small Entity		1807	50	1807		Processing fee under 37 CFR 1.17(q)			
Fee Fee	Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt Recording each patent assignment per	
Code (\$) 1202 18	Code (\$) 2202 9	Claims in excess of 20		8021	40	8021	1 40	property (times number of properties)	
1201 88	2201 44		excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300	2203 150	Multiple dependent clai	m, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88	1204 88 2204 44 ** Reissue independent claims over original patent		1801	790	2801	305	examined (37 CFR 1.129(b))		
1205 18	2205 9	•	cess of 20	1802	900	1802	900	Request for Continued Examination (RCE) Request for expedited examination	
and over original patent							of a design application		
SUBTOTAL (2) (\$) -0-			Other fee (specify) *Reduced by Basic Filing Fee Paid SURTOTAL (3) (4) 240.00						
**or number previously paid, if greater; For Reissues, see above *Redu						Basic F	-iling F	ee Paid SUBTOTAL (3) (\$) 340.0	00

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)

William A. Munck

Registration No. (Attorney/Agent)

Signature

Date

Oct. CO, CO

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DOCKET NO.: US 010123 CLIENT NO.: PHIL06-01397 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CEIVED

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In re application of:

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SYSTEM AND **METHOD** FOR **PERFORMING**

SEGMENTATION-BASED ENHANCEMENTS OF A

VIDEO IMAGE

Group No.:

2614

Examiner:

B.P. Yenke

MAIL STOP APPEAL BRIEF - PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

APPEAL BRIEF

The Appellants have appealed to the Board of Patent Appeals and Interferences from the decision of the Examiner dated May 20, 2004, finally rejecting Claims 1-21. The Appellants filed a Notice of Appeal on August 20, 2004. The Appellants respectfully submit this brief on appeal with the statutory fee of \$340.00.

10/27/2004 ZJUHAR1 00000021 09819360

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11/01/2004 SSESHE1 00000098 09819360

REAL PARTY IN INTEREST

This patent application is currently owned by Philips Electronics North America Corporation as indicated by an assignment recorded on June 14, 2001 in the Assignment Records of the U.S. Patent and Trademark Office at Reel 011660, Frame 0732.

RELATED APPEALS AND INTERFERENCES

There are no known appeals or interferences that will directly affect, be directly affected by, or have a bearing on the Board's decision in this pending appeal.

STATUS OF CLAIMS

Claims 1-21 have been rejected pursuant to an Office Action dated May 20, 2004. Claims 1-21 are presented for appeal. A copy of all pending claims is provided in Appendix A.

STATUS OF AMENDMENTS

A response to the Final Office Action was faxed to the Examiner on July 20, 2004. The Appellant is unaware of any Advisory Action received after the faxing of the July 20, 2004 response. The Appellant is also unaware as to the status of the response.

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SUMMARY OF CLAIMED SUBJECT MATTER

According to one embodiment, an apparatus performs segmentation-based enhancements of a video image by initially storing video frames of an incoming video signal in an input buffer 210. A segmentation controller 222 segments a first stored frame into a plurality of segments, in which each segment includes a plurality of pixels having at least one common property. (Application, Figures 2 and 3; Page 13, Line 8 – Page 14, Line 6; Page 16, Line 18 – Page 17, Line 5; Page 18, Lines 9-16; Page 20, Line 19 – Page 21, Line 2; and Page 21, Lines 4-12). An image processor 220 calculates a probability function associated with at least one pixel in the first stored frame. The probability function indicates a probability that the at least one pixel belongs within a first selected one of the segments. (Application, Figures 2 and 3; Page 18, Lines9-16; Page 19, Line 5 – Page 20, Line 18; and Page 21, Lines 2-3). An enhancement controller 224 enhances a parameter of the at least one pixel as a function of the probability function. (Application, Figures 2 and 3; Page 14, Lines 6-13; Page 17, Lines 5-8; Page 18, Line 17 – Page 19, Line 4; Page 20, Lines 13-14; and Page 21, Lines 13-22).

In another embodiment, a television receiver 110 includes demodulation circuitry for receiving an incoming RF television signal and generating therefrom a baseband video signal capable of being displayed as a plurality of pixels on a video display, and post processing circuitry 140 for receiving the baseband video signal from the demodulation circuitry and performing segmentation-based enhancements of a video image. (*Application, Figure 1; Page 12, Lines 4-14; and Page 13, Lines 3-12*). The post processing circuitry 140 includes an input buffer 210 for storing video frames

of an incoming video signal, and a segmentation controller 222 for segmenting a first stored frame into a plurality of segments, in which each segment includes a plurality of pixels having at least one common property. (Application, Figures 2 and 3; Page 13, Line 8 – Page 14, Line 6; Page 16, Line 18 – Page 17, Line 5; Page 18, Lines 9-16; Page 20, Line 19 – Page 21, Line 2; and Page 21, Lines 4-12). The post processing circuitry further includes an image processor 220 for calculating a probability function associated with at least one pixel in the first stored frame. The probability function indicates a probability that the at least one pixel belongs within a first selected one of the segments. (Application, Figures 2 and 3; Page 18, Lines9-16; Page 19, Line 5 – Page 20, Line 18; and Page 21, Lines 2-3). The post processing circuitry also includes an enhancement controller 224 for enhancing a parameter of the at least one pixel as a function of the probability function. (Application, Figures 2 and 3; Page 14, Lines 6-13; Page 17, Lines 5-8; Page 18, Line 17 – Page 19, Line 4; Page 20, Lines 13-14; and Page 21, Lines 13-22).

In a further embodiment, a method of performing segmentation-based enhancements of a video image includes the steps of storing video frames of an incoming video signal in an input buffer (step 405), and segmenting a first stored frame into a plurality of segments, in which each segment includes a plurality of pixels having at least one common property (step 415). (Application, Figure 4; Page 20, Line 19 – Page 21, Line 2; and Page 21, Lines 4-12). In addition, the method includes the step of calculating a probability function associated with at least one pixel in the first stored frame (step 405). The probability function indicates a probability that the at least one pixel belongs within a first selected one of the segments. (Application, Figure 4; Page 21, Lines 2-3). The method

further includes the step of enhancing a parameter of the at least one pixel as a function of the

probability function (step 420). (Application, Figure 4; Page 21, Lines 13-22).

In an additional embodiment, computer-executable instructions stored on a computer-readable medium are capable of performing segmentation-based enhancements of a video image. The computer-executable instructions include the steps of storing video frames of an incoming video signal in an input buffer, and segmenting a first stored frame into a plurality of segments, in which each segment includes a plurality of pixels having at least one common property. (Application, Figures 2 and 3; Page 13, Line 8 – Page 14, Line 6; Page 16, Line 18 – Page 17, Line 5; Page 18, Lines 9-16; Page 20, Line 19 – Page 21, Line 2; and Page 21, Lines 4-12). In addition, the computer-executable instructions include the step of calculating a probability function associated with at least one pixel in the first stored frame. The probability function indicates a probability that the at least one pixel belongs within a first selected one of the segments. (Application, Figures 2 and 3; Page 18, Lines9-16; Page 19, Line 5 – Page 20, Line 18; and Page 21, Lines 2-3). The computer-executable instructions further include the step of enhancing a parameter of the at least one pixel as a function of the probability function. (Application, Figures 2 and 3; Page 14, Lines 6-13; Page 17, Lines 5-8; Page 18, Line 17 – Page 19, Line 4; Page 20, Lines 13-14; and Page 21, Lines 13-22).

GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

- (1) Claims 1-6 and 15-21 stand rejected under 35 U.S.C. § 102(b) as being anticipated by European Patent Application EP 0 844 582 to Khosravi et al. ("Khosravi").
- (2) Claims 8-13 stand rejected under 35 U.S.C. § 103(a) ad being unpatentable over *Khosravi*.
- (3) Claims 7 and 14 stand rejected under 35 U.S.C. § 103(a) ad being unpatentable over *Khosravi* in view of U.S. Patent Application No. 2003/0002732 to Gossett et al. ("Gossett")

GROUND 1 – REJECTION UNDER 35 U.S.C. § 102

The rejection of Claims 1-6 and 15-21 under 35 U.S.C. § 102(b) is improper and should be withdrawn.

A. OVERVIEW

Claims 1-6 and 15-21 stand rejected under 35 U.S.C. § 102(b) as being anticipated by European Patent Application EP 0 844 582 to Khosravi et al. ("Khosravi")

A copy of the claims is provided in Appendix A. A copy of *Khosravi* is provided in Appendix B.

B. <u>STANDARD</u>

A prior art reference anticipates the claimed invention under 35 U.S.C. § 102 only if every element of a claimed invention is identically shown in that single reference, arranged as they are in the claims. (MPEP § 2131; In re Bond, 910 F.2d 831, 832, 15 U.S.P.Q.2d 1566, 1567 (Fed. Cir. 1990)). Anticipation is only shown where each and every limitation of the claimed invention is found in a single prior art reference. (MPEP § 2131; In re Donohue, 766 F.2d 531, 534, 226 U.S.P.Q. 619, 621 (Fed. Cir. 1985)).

C. THE KHOSRAVI REFERENCE

Khosravi discloses a method for detecting a human face in a video image using a model-

based approach. The foreground regions of the video image are segmented from the background regions of the video image. (*Khosravi*, p. 3, lines 25-32). Multiple models are generated, for example, a model of the foreground region as containing one person and another model of the foreground region as containing two people. (*Khosravi*, p. 3, lines 33-35). For each model, a probability function is evaluated, representing the likelihood that the model correctly describes the number of people in the image. (*Khosravi*, p. 3, lines 35-37).

A model is defined by a list of parameters $(x_0, x_1,...x_i)$. The parameters are horizontal coordinates that define the left and right boundaries of vertical strips of the video image. Thus, a one-person model has two parameters (x_0, x_1) and a two-person model has three parameters (x_0, x_1, x_2) . (See Khosravi, p. 4, lines 29-33, and Figs. 9A and 9D). For each model, its parameters, $(x_0, x_1,...x_i)$, are adjusted iteratively to maximize the model's likelihood function $P(O(x,y)|\lambda_i)$. (Khosravi, p. 5, lines 1-2). The observation O(x,y) represents the intensity of the pixel at location (x,y) and λ_i represents the i-th model. (Khosravi, p. 4, line 15 and lines 29-31).

To calculate a model's likelihood function, *Khosravi* attempts to fit an ellipse around the upper portion of the foreground region in each vertical strip defined by the model. This ellipse is presumed to define the area of the video image containing a head. (*Khosravi*, p. 6, lines 37-38). The pixels that are within this ellipse are classified as 'face' pixels and the pixels that are outside the ellipse (but still within the ellipse's bounding rectangle) are classified as 'non-face' pixels. (*Khosravi*, p. 5, lines 14-16). A model's likelihood function $P(O(x,y)|\lambda_i)$ is a measure of the percentage of foreground pixels within the 'face' region and background pixels within the 'non-face'

region. (*Khosravi*, p. 5, lines 16-19). Thus, the likelihood function $P(O(x,y)|\lambda_i)$ is a measure over all the pixels within the bounding rectangle of the chosen ellipse.

D. <u>CLAIMS 1-6 AND 15-21</u>

Claim 1, taken as representative of independent Claims 1, 15 and 19 recites an apparatus for performing segmentation-based enhancements of a video image, which includes:

an input buffer for storing video frames of an incoming video signal;

a segmentation controller capable of segmenting a first stored frame into a plurality of segments, each of said plurality of segments comprising a plurality of pixels having at least one common property;

an image processor capable of calculating a probability function associated with at least one pixel in said first stored frame, said probability function indicating a probability that said at least one pixel belongs within a first selected one of said plurality of segments; and

an enhancement controller capable of enhancing a parameter of said at least one pixel as a function of said probability function of said at least one pixel.

The Examiner has failed to show that *Khosravi* anticipates the element of "enhancing a parameter of said at least one pixel as a function of [a] probability function," in which the probability function measures "a probability that said at least one pixel belongs within a first selected one of said plurality of segments," as recited in Claims 1, 15 and 19.

Where the likelihood function $P(O(x,y)|\lambda_i)$ of the *Khosravi* reference is a bulk measure over all the pixels within a chosen rectangle and determines whether an ellipse fits a portion of the foreground region of the image, the probability function recited in Claims 1, 15 and 19 is a measure of whether an individual pixel belongs within a segment of a video image and determines the level of enhancement applied to that pixel.

Furthermore, the Examiner asserts in the Final Office Action of May 20, 2004 that Khosravi

describes controlling parameters based on a probability computation to ensure the best segmentation

of pixels in an image, thereby teaching the limitation of Claims 1, 15 and 19 of enhancing a

parameter of a pixel. (See Final Office Action, p. 9, Section a)). The Applicants respectfully submit

that the parameters being controlled in the Khosravi reference are parameters of a model, not

parameters of a pixel. Furthermore, the attribute whose best value is being sought is a bulk measure

of how well an ellipse fits the foreground region within a rectangle, taken over all the pixels within

that rectangle.

Thus, the Khosravi reference does not teach a probability function indicating a probability

that a pixel belongs within a selected one of a plurality of segments. Nor does it teach enhancing a

parameter of the pixel as a function of the probability function.

In order to reject independent Claims 1, 15 and 19 (and their dependent claims), the

Examiner must show that Khosravi anticipates each and every element recited in Claims 1, 15 and 19

(and their dependent claims). The Examiner has not met that burden. For these reasons, the

Examiner has not shown that Khosravi anticipates the Appellants' invention as recited in Claims 1,

15 and 19 (and their dependents).

Accordingly, the Appellants respectfully request that the § 102 rejection of Claims 1-6 and

15-21 be withdrawn and that Claims 1-6 and 15-21 be passed to allowance.

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GROUND 2 – REJECTION UNDER 35 U.S.C. § 103 USING KHOSRAVI

The rejection of Claims 8-13 under 35 U.S.C. § 103(a) is improper and should be withdrawn.

A. <u>OVERVIEW</u>

Claims 8-13 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over *Khosravi*.

B. <u>STANDARD</u>

In ex parte examination of patent applications, the Patent Office bears the burden of

establishing a prima facie case of obviousness. (MPEP § 2142; In re Fritch, 972 F.2d 1260, 1262,

23 U.S.P.Q.2d 1780, 1783 (Fed. Cir. 1992)). The initial burden of establishing a prima facie basis to

deny patentability to a claimed invention is always upon the Patent Office. (MPEP § 2142; In re

Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re Piasecki, 745 F.2d

1468, 1472, 223 U.S.P.Q. 785, 788 (Fed. Cir. 1984)). Only when a prima facie case of obviousness

is established does the burden shift to the Appellant to produce evidence of nonobviousness. (MPEP

§ 2142; In re Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re

Rijckaert, 9 F.3d 1531, 1532, 28 U.S.P.Q.2d 1955, 1956 (Fed. Cir. 1993)). If the Patent Office does

not produce a prima facie case of unpatentability, then without more the Appellant is entitled to grant

of a patent. (In re Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re

Grabiak, 769 F.2d 729, 733, 226 U.S.P.Q. 870, 873 (Fed. Cir. 1985)).

A prima facie case of obviousness is established when the teachings of the prior art itself

-11-

suggest the claimed subject matter to a person of ordinary skill in the art. (*In re Bell, 991 F.2d 781, 783, 26 U.S.P.Q.2d 1529, 1531 (Fed. Cir. 1993)*). To establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed invention and the reasonable expectation of success must both be found in the prior art, and not based on Appellant's disclosure. (*MPEP § 2142*).

C. <u>CLAIMS 8-13</u>

Claim 8 recites a television receiver that includes:

demodulation circuitry capable of receiving an incoming RF television signal and generating therefrom a baseband video signal capable of being displayed as a plurality of pixels on a video display; and post processing circuitry, coupled to an output of said demodulation circuitry and receiving therefrom said baseband video signal, capable of performing segmentation-based enhancements of a video image, said post processing circuitry comprising:

an input buffer for storing video frames of an incoming video signal;

a segmentation controller capable of segmenting a first stored frame into a plurality of segments, each of said plurality of segments comprising a plurality of pixels having at least one common property;

an image processor capable of calculating a probability function associated with at least one pixel in said first stored frame, said probability function indicating a probability that said at least one pixel belongs within a first selected one of said plurality of segments; and

an enhancement controller capable of enhancing a parameter of said at least one pixel as a function of said probability function of said at least one pixel.

The Examiner has failed to show that Khosravi anticipates the element of "enhancing a

parameter of said at least one pixel as a function of [a] probability function," in which the probability

function measures "a probability that said at least one pixel belongs within a first selected one of said

plurality of segments," as recited in Claim 8.

As described above, Khosravi fails to disclose, teach or suggest a probability function

indicating a probability that a pixel belongs within a selected one of a plurality of segments, as

recited in Claim 8. In addition, Khosravi does not disclose, teach or suggest enhancing a parameter

of the pixel as a function of the probability function, as also recited in Claim 8.

For these reasons, the Examiner has not shown that Khosravi discloses, teaches or suggests

the Appellants' invention as recited in Claim 8 (and its dependent claims). As a result, the Examiner

has failed to establish a prima facie case of obviousness against Claims 8-14. Accordingly, the

Appellants respectfully request that the § 103 rejection of Claims 8-13 be withdrawn and that Claims

8-13 be passed to allowance.

-13-

GROUND 3 - REJECTION UNDER 35 U.S.C. § 103 USING KHOSRAVI AND GOSSETT

The rejection of Claims 7 and 14 under 35 U.S.C. § 103(a) is improper and should be

withdrawn.

A. <u>OVERVIEW</u>

Claims 7 and 14 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Khosravi

in view of Gossett.

B. STANDARD

In ex parte examination of patent applications, the Patent Office bears the burden of

establishing a prima facie case of obviousness. (MPEP § 2142; In re Fritch, 972 F.2d 1260, 1262,

23 U.S.P.Q.2d 1780, 1783 (Fed. Cir. 1992)). The initial burden of establishing a prima facie basis to

deny patentability to a claimed invention is always upon the Patent Office. (MPEP § 2142; In re

Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re Piasecki, 745 F.2d

1468, 1472, 223 U.S.P.Q. 785, 788 (Fed. Cir. 1984)). Only when a prima facie case of obviousness

is established does the burden shift to the Appellant to produce evidence of nonobviousness. (MPEP

§ 2142; In re Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re

Rijckaert, 9 F.3d 1531, 1532, 28 U.S.P.Q.2d 1955, 1956 (Fed. Cir. 1993)). If the Patent Office does

not produce a prima facie case of unpatentability, then without more the Appellant is entitled to grant

of a patent. (In re Oetiker, 977 F.2d 1443, 1445, 24 U.S.P.Q.2d 1443, 1444 (Fed. Cir. 1992); In re

-14-

Grabiak, 769 F.2d 729, 733, 226 U.S.P.O. 870, 873 (Fed. Cir. 1985)).

A prima facie case of obviousness is established when the teachings of the prior art itself suggest the claimed subject matter to a person of ordinary skill in the art. (In re Bell, 991 F.2d 781, 783, 26 U.S.P.Q.2d 1529, 1531 (Fed. Cir. 1993)). To establish a prima facie case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed invention and the reasonable expectation of success must both be found in the prior art, and not based on Appellant's disclosure. (MPEP § 2142).

C. CLAIMS 7 and 14

As described above, the Examiner has failed to show that *Khosravi* discloses, teaches or suggests a probability function indicating a probability that a pixel belongs within a selected one of a plurality of segments, as recited in independent Claims 1 and 8, from which Claims 7 and 14 depend respectively. In addition, *Khosravi* does not disclose, teach or suggest enhancing a parameter of the pixel as a function of the probability function, as also recited in Claims 1 and 8. The Examiner does not cite *Gossett* as disclosing, teaching or suggesting these elements of Claims 1 and 8.

As a result, Claims 1 and 8 are patentable, and Claims 7 and 14 are patentable due to their

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dependence from allowable base claims. Accordingly, the Appellants respectfully request that the §

103 rejection of Claims 7 and 14 be withdrawn and that Claims 7 and 14 be passed to allowance.

CONCLUSION

The Appellants have demonstrated that the present invention as claimed is clearly

distinguishable over the prior art cited of record. Therefore, the Appellants respectfully request the

Board of Patent Appeals and Interferences to reverse the final rejection of the Examiner and instruct

the Examiner to issue a notice of allowance of all claims.

The Appellants have enclosed a check in the amount of \$330.00 to cover the cost of this

Appeal Brief. The Appellants do not believe that any additional fees are due. However, the

Commissioner is hereby authorized to charge any additional fees (including any extension of time

fees) or credit any overpayments to Davis Munck Deposit Account No. 50-0208.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: O.f. 20, 2004

Registration No. 39,308

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APPENDIX A

PENDING CLAIMS

1. An apparatus for performing segmentation-based enhancements of a video image, said apparatus comprising:

an input buffer for storing video frames of an incoming video signal;

a segmentation controller capable of segmenting a first stored frame into a plurality of segments, each of said plurality of segments comprising a plurality of pixels having at least one common property;

an image processor capable of calculating a probability function associated with at least one pixel in said first stored frame, said probability function indicating a probability that said at least one pixel belongs within a first selected one of said plurality of segments; and

an enhancement controller capable of enhancing a parameter of said at least one pixel as a function of said probability function of said at least one pixel.

- 2. The apparatus as set forth in Claim 1 wherein said segmentation controller segments said first stored frame into said plurality of segments as a function of said probability function.
- 3. The apparatus as set forth in Claim 2 wherein said enhancement controller increases an amount of enhancement of said parameter as a value of said probability function increases.
- 4. The apparatus as set forth in Claim 3 wherein said enhancement controller decreases an amount of enhancement of said parameter as a value of said probability function decreases.
- 5. The apparatus as set forth in Claim 1 further comprising a memory capable of storing a segmentation algorithm, said segmentation algorithm comprising instructions executable by said segmentation controller for segmenting said first stored frame into said plurality of segments.
- 6. The apparatus as set forth in Claim 5 wherein said memory is further capable of storing an enhancement algorithm, said enhancement algorithm comprising instructions executable by said enhancement controller for enhancing said parameter of said at least one pixel.
- 7. The apparatus as set forth in Claim 1 wherein said probability function associated with at least one pixel is calculated from the (y,u,v) color values associated with said at least one pixel.

8. A television receiver comprising:

demodulation circuitry capable of receiving an incoming RF television signal and generating therefrom a baseband video signal capable of being displayed as a plurality of pixels on a video display; and

post processing circuitry, coupled to an output of said demodulation circuitry and receiving therefrom said baseband video signal, capable of performing segmentation-based enhancements of a video image, said post processing circuitry comprising:

an input buffer for storing video frames of an incoming video signal;

a segmentation controller capable of segmenting a first stored frame into a plurality of segments, each of said plurality of segments comprising a plurality of pixels having at least one common property;

an image processor capable of calculating a probability function associated with at least one pixel in said first stored frame, said probability function indicating a probability that said at least one pixel belongs within a first selected one of said plurality of segments; and

an enhancement controller capable of enhancing a parameter of said at least one pixel as a function of said probability function of said at least one pixel.

- 9. The television receiver as set forth in Claim 8 wherein said segmentation controller segments said first stored frame into said plurality of segments as a function of said probability function.
- 10. The television receiver as set forth in Claim 9 wherein said enhancement controller increases an amount of enhancement of said parameter as a value of said probability function increases.
- 11. The television receiver as set forth in Claim 10 wherein said enhancement controller decreases an amount of enhancement of said parameter as a value of said probability function decreases.
- 12. The television receiver as set forth in Claim 8 further comprising a memory capable of storing a segmentation algorithm, said segmentation algorithm comprising instructions executable by said segmentation controller for segmenting said first stored frame into said plurality of segments.
- 13. The television receiver as set forth in Claim 12 wherein said memory is further capable of storing an enhancement algorithm, said enhancement algorithm comprising instructions executable by said enhancement controller for enhancing said parameter of said at least one pixel.

- 14. The television receiver as set forth in Claim 8 wherein said probability function associated with at least one pixel is calculated from the (y,u,v) color values associated with said at least one pixel.
- 15. A method of performing segmentation-based enhancements of a video image comprising the steps of:

storing video frames of an incoming video signal in an input buffer;

segmenting a first stored frame into a plurality of segments, each of the plurality of segments comprising a plurality of pixels having at least one common property;

calculating a probability function associated with at least one pixel in the first stored frame, the probability function indicating a probability that the at least one pixel belongs within a first selected one of the plurality of segments; and

enhancing a parameter of the at least one pixel as a function of the probability function of the at least one pixel.

- 16. The method as set forth in Claim 15 wherein the step of segmenting segments the first stored frame into the plurality of segments as a function of the probability function.
- 17. The method as set forth in Claim 16 wherein the step of enhancing increases an amount of enhancement of the parameter as a value of the probability function increases.
- 18. The method as set forth in Claim 17 wherein the step of enhancing decreases an amount of enhancement of the parameter as a value of the probability function decreases.
- 19. Computer-executable instructions stored on a computer-readable storage medium and capable of performing segmentation-based enhancements of a video image, the computer-executable instructions comprising the steps of:

storing video frames of an incoming video signal in an input buffer;

segmenting a first stored frame into a plurality of segments, each of the plurality of segments comprising a plurality of pixels having at least one common property;

calculating a probability function associated with at least one pixel in the first stored frame, the probability function indicating a probability that the at least one pixel belongs within a first selected one of the plurality of segments; and

enhancing a parameter of the at least one pixel as a function of the probability function of the at least one pixel.

20. The computer-executable instructions stored on a computer-readable storage medium as set forth in Claim 19 wherein the step of segmenting segments the first stored frame into the plurality of segments as a function of the probability function.

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21. The computer-executable instructions stored on a computer-readable storage medium as set forth in Claim 20 wherein the step of enhancing increases an amount of enhancement of the parameter as a value of the probability function increases.

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APPENDIX B

Khosravi Reference

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